

Sample GLBA Scorecard

© 2006 iSecure Solutions

Rule No.	Type	GLBA Safeguards Rule: Required Controls	Rating
1	Governance	Information Security PlanS	Adequate
2		Security Program Monitoring and Adjustments	Not Adequate
3		Safeguards Coordinator	Adequate
4		Periodic Risk Assessments	Not Adequate
5		Information Security Training and Awareness	Adequate
7		Administrative, Technical and Physical Control Implementation	Not Adequate
8		Third Party Service Providers	Adequate
9		Third Party Service Provider Contract Enforcement of Objectives	Adequate
6	Administrative	Employee Training	Adequate
10		Periodic Update and Testing of Program's Key Controls	Not Adequate
11		Limit Access Using Segregation of Duties, Dual Controls, Background Checks	Not Adequate
12		Information Security Incident Response Program	Adequate
13		Records & Storage Media Destruction - Policies & Procedures	Not Adequate
14	Administrative & Technical	Access Controls to NPPI Systems	Not Adequate
17		Physical Access Controls to Business Records and Computer Systems with NPPI	Not Conclusive
15	Technical	Monitor Systems and Procedures to Detect Unauthorized Access	Adequate
16		Secure Data Transmission - Encryption	Adequate
9 out of 17 = 53 % Compliant			